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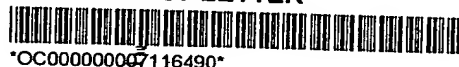
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/963,939	09/26/2001	Songlin Zhuang	BAO TONG-101

CONFIRMATION NO. 1288

FORMALITIES LETTER



OC000000007116490

Date Mailed: 11/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

3/2002 BNGUYEN1 00000108 09963939

FC:201
FC:197

370.00 OP
50.00 OP



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Songlin Zhuang
Application Number: 09/963,939
Filing Date: September 26, 2000
Title: LIQUID CRYSTAL BASED OPTICAL SWITCH UTILIZING
DIFFRACTION

Atty. Docket: BAO TONG-101

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Services as first class mail in an envelope addressed to the Honorable Commissioner, United States Patent and trademark Office, Washington, D.C. 20231 on 11/29/01

By: *[Signature]*
Robert K. Tendler
Reg. No.: 24,581
Attorney for Applicant

PAYMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Enclosed, please find payment of the small entity statutory basic filing fee for application serial number 09/963,939.

Respectfully submitted,

[Signature]
Robert K. Tendler
Reg. No: 24,581

65 Atlantic Avenue
Boston, MA 02110
Telephone No: (617) 723-7268
Date: November 29, 2001
Docket No: BAO TONG-101